Security Policies
Confidentiality Policies
Security Policies

• Policy partitions system states into:
  – Authorized (secure)
    • These are states the system can enter
  – Unauthorized (nonsecure)
    • If the system enters any of these states, it’s a security violation

• Secure system
  – Starts in authorized state
  – Never enters unauthorized state
Confidentiality

- $X$ set of entities, $I$ information
- $I$ has \textit{confidentiality} property with respect to $X$ if no $x \in X$ can obtain information from $I$
- $I$ can be disclosed to others
- Example:
  - $X$ set of students
  - $I$ final exam answer key
  - $I$ is confidential with respect to $X$ if students cannot obtain final exam answer key
Integrity

- $X$ set of entities, $I$ information
- $I$ has *integrity* property with respect to $X$ if all $x \in X$ trust information in $I$
- More “fuzzy” than confidentiality!
- Types of integrity:
  - trust $I$, its conveyance and protection (data integrity)
  - $I$ information about origin of something or an identity (origin integrity, authentication)
  - $I$ resource: means resource functions as it should (assurance)
Availability

- $X$ set of entities, $I$ resource
- $I$ has availability property with respect to $X$ if all $x \in X$ can access $I$
- Types of availability:
  - traditional: $x$ gets access or not
  - quality of service: promised a level of access (for example, a specific level of bandwidth) and not meet it, even though some access is achieved
Policy Models

- Abstract description of a policy or class of policies
- Focus on points of interest in policies
  - Security levels in multilevel security models
  - Separation of duty in Clark-Wilson model
  - Conflict of interest in Chinese Wall model
Types of Security Policies

• Military (governmental) security policy
  – Policy *primarily* protecting confidentiality

• Commercial security policy
  – Policy *primarily* protecting integrity

• Confidentiality policy
  – Policy protecting only confidentiality

• Integrity policy
  – Policy protecting only integrity
Integrity and Transactions

• Begin in consistent state
  – “Consistent” defined by specification
• Perform series of actions (*transaction*)
  – Actions cannot be interrupted
  – If actions complete, system in consistent state
  – If actions do not complete, system reverts to beginning (consistent) state
Trust

Administrator installs patch

1. Trusts patch came from vendor, not tampered with in transit
2. Trusts vendor tested patch thoroughly
3. Trusts vendor’s test environment corresponds to local environment
4. Trusts patch is installed correctly
Trust in Formal Verification

• Gives formal mathematical proof that given input $i$, program $P$ produces output $o$ as specified

• Suppose a security-related program $S$ formally verified to work with operating system $O$

• What are the assumptions?
Trust in Formal Methods

1. Proof has no errors
   • Bugs in automated theorem provers
2. Preconditions hold in environment in which $S$ is to be used
3. $S$ transformed into executable $S'$ whose actions follow source code
   – Compiler bugs, linker/loader/library problems
4. Hardware executes $S'$ as intended
   – Hardware bugs (Pentium $\text{f00f}$ bug, for example)
Types of Access Control

• Discretionary Access Control (DAC, IBAC)
  – individual user sets access control mechanism to allow or deny access to an object

• Mandatory Access Control (MAC)
  – system mechanism controls access to object, and individual cannot alter that access

• Originator Controlled Access Control (ORCON)
  – originator (creator) of information controls who can access information
Question

• Policy disallows cheating
  – Includes copying homework, with or without permission
• CS class has students do homework on computer
• Anne forgets to read-protect her homework file
• Bill copies it
• Who cheated?
  – Anne, Bill, or both?
Answer Part 1

• Bill cheated
  – Policy forbids copying homework assignment
  – Bill did it
  – System entered unauthorized state (Bill having a copy of Anne’s assignment)

• If not explicit in computer security policy, certainly implicit
  – Not credible that a unit of the university allows something that the university as a whole forbids, unless the unit explicitly says so
Answer Part 2

• Anne didn’t protect her homework
  – Not required by security policy
• She didn’t breach security
• If policy said students had to read-proTECT homework files, then Anne did breach security
  – She didn’t do this
Mechanisms

• Entity or procedure that enforces some part of the security policy
  – Access controls (like bits to prevent someone from reading a homework file)
  – Disallowing people from bringing CDs and USB drives into a computer facility to control what is placed on systems
Confidentiality Policies

• Goal: prevent the unauthorized disclosure of information
  – Deals with information flow
  – Integrity incidental

• Multi-level security models are best-known examples
  – Bell-LaPadula Model forms basis for most
Bell-LaPadula Model, Step 1

- Security levels arranged in linear ordering
  - Top Secret: highest
  - Secret
  - Confidential
  - Unclassified: lowest

- Levels consist of security clearance $L(s)$
  - Objects have security classification $L(o)$
### Example

<table>
<thead>
<tr>
<th>security level</th>
<th>subject</th>
<th>object</th>
</tr>
</thead>
<tbody>
<tr>
<td>Top Secret</td>
<td>Tamara</td>
<td>Personnel Files</td>
</tr>
<tr>
<td>Secret</td>
<td>Samuel</td>
<td>E-Mail Files</td>
</tr>
<tr>
<td>Confidential</td>
<td>Claire</td>
<td>Activity Logs</td>
</tr>
<tr>
<td>Unclassified</td>
<td>Ulaleyl</td>
<td>Telephone Lists</td>
</tr>
</tbody>
</table>

- Tamara can read all files
- Claire cannot read Personnel or E-Mail Files
- Ulalely can only read Telephone Lists
• Information flows up, not down
  – “No Reads Up”
• Simple Security Condition (Step 1)
  – Subject $s$ can read object $o$ iff $L(o) \leq L(s)$ and $s$ has permission to read $o$
  • Note: combines mandatory control (relationship of security levels) and discretionary control (the required permission)
Writing Information

• Information flows up, *not down*
  – “No Writes Down”

• *-Property (Step 1)*
  – Subject $s$ can write object $o$ iff $L(s) \leq L(o)$ and $s$ has permission to write $o$

• Note: combines mandatory control (relationship of security levels) and discretionary control (the required permission)
Basic Security Theorem, Step 1

• If a system is initially in a secure state, and every transition of the system satisfies the “simple security condition (step 1)”, and the “*-property (step 1)”, then every state of the system is secure
• Expand notion of security level to include categories
• Security level is \(( clearance, category \ set )\)
• Examples
  – ( Top Secret, \{ NUC, EUR, ASI \} )
  – ( Confidential, \{ EUR, ASI \} )
  – ( Secret, \{ NUC, ASI \} )
Levels and Ordering

- **Domination:** \((A, C) \text{ dom } (A', C')\) iff \(A' \leq A\) and \(C' \subseteq C\)
- **Examples**
  - (Top Secret, \{NUC, ASI\}) \text{ dom } (Secret, \{NUC\})
  - (Secret, \{NUC, EUR\}) \text{ dom } (Confidential, \{NUC, EUR\})
  - (Top Secret, \{NUC\}) \text{ dom } (Confidential, \{EUR\})
- **Security levels partially ordered**
  - Any pair of security levels may (or may not) be related by \text{ dom }
- “dominates” serves the role of “greater than” in step 1
  - “greater than” is a total ordering, though
Reading Information

- Information flows up, not down
  - “No Reads Up”

- Simple Security Condition (Step 2)
  - Subject $s$ can read object $o$ iff $L(s) \text{ dom } L(o)$
    and $s$ has permission to read $o$

- Note: combines mandatory control (relationship of security levels) and discretionary control (the required permission)
Writing Information

• Information flows up, not down
  – “No Writes Down”

• *-Property (Step 2)
  – Subject $s$ can write object $o$ iff $L(o) \text{ dom } L(s)$
    and $s$ has permission to write $o$

• Note: combines mandatory control (relationship of security levels) and discretionary control (the required permission)
Basic Security Theorem, Step 2

• If a system is initially in a secure state, and every transition of the system satisfies the simple security condition, step 2, and the *-property, step 2, then every state of the system is secure.
Problem

- General has (Secret, \{NUC, EUR\}) clearance
- Major has (Secret, \{EUR\}) clearance
  - Major can talk to General
    - “write up” or “read down”
  - General cannot talk to Major
    - “no read up”, “no write down”!
Solution

• Define maximum, current levels for subjects such that \textit{maxlevel}(s) \textit{dom} \textit{curlevel}(s)

• Example
  – Treat Major as an object (General is writing to him/her)
  – General has \textit{maxlevel} (Secret, \{ NUC, EUR \})
  – General sets \textit{curlevel} to (Secret, \{ EUR \})
  – Now \( L(\text{Major}) \textit{dom} \textit{curlevel}(\text{General}) \)
    • General can write to Major without violating “no writes down”
  – Does \( L(s) \) mean \textit{curlevel}(s) or \textit{maxlevel}(s)?
    • Formally, we need a more precise notation
Key Points

- Policies describe *what* is allowed
- Mechanisms control *how* policies are enforced
- Trust underlies everything
- Confidentiality models restrict flow of information
- Bell-LaPadula models multilevel security
  - Cornerstone of much work in computer security